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**z/OS
SECURITY TECHNICAL IMPLEMENTATION GUIDE
(STIG)
INSTRUCTION**

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Developed by DISA for the DOD

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Summary of Changes

| Revision Number | Document Revised | Description of Change | Release Date |
|-----------------|-------------------------|---|-----------------|
| V6R49 | V6R48 (22 January 2021) | Removed CICS collection instructions | 23 April 2021 |
| V6R33 | V6R32 (28 July 2017) | Added SMTSTC entries for DSNLIST | 27 October 2017 |
| V6R32 | V6R31 (28 April 2017) | Added CASECAUT resource for TSS for resources Auditors may require. Updated list of Authorized User Groups. | 28 July 2017 |
| V6R30 | V6R29 (28 Oct 2016) | Removed unnecessary product instructions. Added CA1STC to DSNLIST instructions. | 27 January 2017 |
| V6R29 | V6R28 (22 July 2016) | Added RACFREXX and SYSREXX entries to the Dataset Group table. | 28 October 2016 |

1. DATA COLLECTION

1.1 z/OS Data Collection Setup

The following instructions will be used to collect information and data that will be used in the collection process in conducting the Security Readiness Review (SRR).

Note: This document contains several references to the character strings “**xxxx**” and “**mmmyyyy**”. Throughout this document, replace all occurrences of:

- 1) “**xxxx**” with the SYSNAME specified in the IEASYSxx member in the logical parmlib concatenation
- 2) “**mmmyyyy**” with the month and year of the review, e.g., MAR1997

Note: This document contains several references to the character string “YnnMnn”:

“**YnnMnn**” refers to Year and Month of the z/OS SRR scripts and all z/OS STIGs (e.g., Y25M01).

The data gathered will be saved in the following partitioned data sets:

- 1) **SYS3.FSO.xxxx.mmmyyyy.CNTL** – Script, JCL, and Tables
- 2) The data set that contains the information collected in the z/OS SRRAUDIT Dialog Management Procedures.

These permanent data sets must be located on a domain accessible to the reviewing personnel and will be required for follow-up SRR data analysis. The data sets should be backed up and retained by the site for future reference.

A copy of the z/OS STIG Instruction should be provided to the site prior to the start of the SRR process.

___ 1. **Process to be run for Sites running SRRAUDIT**

***** **If not running SRRAUDIT, skip this step and go on to Step 2.** *****

- ___ a) Edit **SYS2.SRRAUDIT.CNTL(CACJAUFU)**.

Replace the JOB card with a valid JOB card.

Change *xxxxx* and *mmmyyyy* in the SRRHLQ variable as follows

- 1) *xxxxx* with the *SYSNAME* specified in the *IEASYSxx* member in the logical parmlib concatenation.
 - 2) *mmmyyyy* with the month and year of the review, e.g., *MAR1997*.
- ___ b) Submit **CACJAUFU** for execution. CACJAUFU job Creates and copies members from the SRRAUDIT libraries to SYS3.FSO libraries. This job prepares the information for a full review to be performed after completing the SRRAUDIT Process.
- ___ c) Skip to Step 5.

___ 2. Upload the files located in U_zOS_YnnMnn_SRR.zip to the host.

- ___ a) Allocate two partitioned data sets on the host.

Using ISPF/PDF data set utilities or an equivalent program, allocate the following data sets with the indicated characteristics:

SYS3.FSO.YnnMnn.JCL – Batch restore JCL data set

Organization:**PO**
Record format:**FB**
Record length:**80**
Block size:**6160** (suggested)
Primary tracks:**1**
Secondary tracks:**1**
Directory blocks:**1**

SYS3.FSO.xxxx.mmmmyyyy.PARMLIB – Copies of system parmlib members

Organization:**PO**
Record format:**FB**
Record length:**80**
Block size:**6160** (suggested)
Primary tracks:**2**
Secondary tracks:**1**
Directory blocks:**5**

- ___ b) Using any 3270 Terminal Host Emulation or File Transfer Protocol software, establish a host connection:

- ___ 1) Perform a **Text** transfer of **RESTJCL.txt** to **SYS3.FSO.YnnMnn.JCL(RESTJCL)**. Ensure that Transfer Options are set to **ASCII CRLF**.
- ___ 2) Perform a **Binary** transfer of **YnnMnn.DUMP.xmi** to create **SYS3.FSO.YnnMnn.DUMP.XMI**. Ensure that Transfer Options are set to the following:

RECFM(F) BLKSIZE(6160) LRECL(80) SPACE(75 15) TRACKS

___ 3. **Submit RESTJCL to receive and restore data sets.**

- ___ a) Edit **SYS3.FSO.YnnMnn.JCL(RESTJCL)** and perform the following:
- 1) Replace the JOB card with a valid JOB card.
 - 2) Make changes specified in the JCL comments.
 - 3) Make changes to the UNIT and VOLUME entries for the RECEIVE in STEP1.
- ___ b) Submit **RESTJCL** for execution. This JOB will receive and restore data sets used in the Data Collection Process. Review the job for error messages to ensure successful execution, particularly the following:

___ 1) The ISPLOG and SYSTSPRT files of each report step

___ 2) The JOBLOG or JESLOG files

The **RESTJCL** job will create the following data sets:

SYS3.FSO.YnnMnn.DUMP

SYS3.FSO.xxxx.mmmyyyy.CNTL

SYS3.FSO.xxxx.mmmyyyy.EXAM.SCRIPT

SYS3.FSO.xxxx.mmmyyyy.LOADLIB

- ___ c) Upon successful completion and creation of the above data sets, the following data set may be deleted:

SYS3.FSO.YnnMnn.DUMP

SYS3.FSO.YnnMnn.DUMP.XMI

SYS3.FSO.YnnMnn.JCL

4. Customize CA Auditor report options.

Invoke the CA Auditor (formally known as CA Examine) application from within ISPF/PDF. This is typically done by executing **%EXAMINE** from ISPF/PDF option 6.

From the CA Auditor primary menu, enter **0.3** from the command line to display the **SELECT REPORT OPTIONS** menu. Enter the following values:

| | |
|-------------------------|--|
| Page header: | SRR - site <i>name</i> - xxxx |
| Maximum lines per page: | 55 (suggested) |
| Report destination: | LOCAL |
| Sysout class: | X (must be a JES held output class) |
| Upper case: | YES (suggested) |
| Allocated hold: | YES |

After all the information is entered, press the **ENTER** key to save the values and return to the CA Auditor primary menu.

Note: If the **PF3** key or the **END** command is issued, the report option values will not be saved.

___ 5. Verify that Dialog Dataset is populated.

Note: Review the instructions in the z/OS SRRAUDIT Dialog Management Procedures.

- ___ a) Verify the Authorized User Groups are complete.

Note: For sites to determine if the SRRAUDIT process is installed, review data sets that have the high-level qualifiers of SYS2.SRRAUDIT and SYS3.SRRAUDIT. The symbolic SRRAUL will be data set SYS3.SRRAUDIT.DATA. *The members in this data set should be evaluated to verify that the contents are correct.* This data set should contain the following members:

| | | | | |
|----------|----------|----------|----------|----------|
| APPBAUDT | APPDAUDT | APPSAUDT | AUDTAUDT | AUTOAUDT |
| BMCADMIN | BMCUSER | CHGOWNER | CICBAUDT | CICDAUDT |
| CICSAUDT | CICSDEF | CICUAUDT | CONSOLES | DABAAUDT |
| DAEMAUDT | DASBAUDT | DASDAUDT | DPCSAUDT | DUMPAUDT |
| EMERAUDT | FTPUSERS | IOBAUDT | MICSADM | MICSUSER |
| MQSAAUDT | MQSDAUDT | MVREAD | MVUPDT | OMVSAUDT |
| OPERAUDT | PARMSTC | PCSPAUDT | PRODAUDT | ROSCAETH |
| SECAAUDT | SECBAUDT | SECDAUDT | SERVAUDT | SMFBAUDT |
| STCGAUDT | SUPRAUDT | SYSCAUDT | SYSPAUDT | TAPDAUDT |
| TAPEAUDT | TSTCAUDT | WEBAAUDT | | |

- ___ b) Ensure that all Products are identified.
- ___ c) Ensure that all Vulnerability Questions are answered.
- ___ d) Ensure that the Asset Definition Process is completed to provide the scripts with the Classification of the system being reviewed.

___ 6. Resources that may be required for Auditor.

- ___ a) Review the following table for possible resources that the Auditor may require. This table includes resources for specific products.

| Product | Resource Class | Resource | Access | Logging |
|----------------------|----------------|--|---------|-------------|
| General | DATASET | System-level data sets | READ | As Required |
| General | DATASET | Data sets created by the jobs in this document | ALTER | No |
| General | TSOAUTH | CONSOLE | READ | No |
| General | TSOAUTH | PARMLIB | READ | No |
| General | OPERCMD | MVS.DISPLAY | READ | No |
| General | OPERCMD | MVS.MCSOPER.* | READ | No |
| General | OPERCMD | JES2.DISPLAY | READ | No |
| General | SERVAUTH | EZB.STACKACCESS | READ | No |
| General | FACILITY | IRR.DIGTCERT.LIST | CONTROL | No |
| RACF | OPERCMD | MVS.MODIFY.STC.AXR.* | UPDATE | Yes |
| RACF | OPERCMD | MVS.SYSREXX.EXECUTE.* | READ | No |
| RACF | PROGRAM | IRRDBU00 | READ | No |
| RACF | PROGRAM | IRRUT200 | READ | No |
| SDSF | OPERCMD | MVS.MODIFY.STC.SDSF | UPDATE | Yes |
| SDSF | OPERCMD | SDSF.MODIFY.DISPLAY | READ | No |
| SDSF | SDSF | ISFOPER.SYSTEM | READ | No |
| SDSF | SDSF | ISFCMD.ODSP.ULOG | READ | No |
| TSS | CASECAUT | TSSCMD.ADMIN.MODIFY | USE | No |
| WebSphere MQ | PROGRAM | CSQUTIL | EXECUTE | No |
| WebSphere MQ | MQCONN | ssid.BATCH | READ | No |
| WebSphere MQ | MQCMD | ssid.DISPLAY. | READ | No |
| WebSphere MQ | MQQUEUE | ssid.SYSTEM.COMMAND.INPUT | UPDATE | No |
| WebSphere MQ | MQQUEUE | ssid.SYSTEM.COMMAND.REPLY | UPDATE | No |
| WebSphere MQ | MQQUEUE | ssid.SYSTEM.CSQUTIL.- | UPDATE | No |
| Unix System Services | UNIXPRIV | SUPERUSER.FILESYS | READ | No |
| Unix System Services | FACILITY | BPX.SUPERUSER | READ | No |
| CA Auditor | FACILITY | CSVYNEX.LIST | READ | No |
| CA Auditor | PROGRAM | LTDMMAIN | EXECUTE | No |

___ 7. **Modify members in SYS3.FSO.xxxx.mmmyyyy.CNTL.**

- ___ a) Customize JCL member **JOBCARD**.
- 1) Change JOB card statement to reflect a valid JOB card for the site.
 - 2) Change **XXXX** to reflect the current SYSNAME specified in the IEASYSxx member.
 - 3) Change **MMMYYYY** to reflect the current month and year.
 - 4) Optional approach, change **XXXX.MMMYYYY** to any identifiable qualifiers for the data sets created in this process.

- ___ b) Review the JCL, edit, and make changes where necessary to the member **EXAMRPTS**. Change the variables to reflect the current **SYS3.FSO.xxxx.mmmyyyy.CNTL** and the data set used to run CA-Examine. The following are the current defaults:

```
CNTL=SYS2.SRRAUDIT.CNTL
CAILIB=SYS2A.EXAMINE.CAILIB
CAICLIB=SYS2.EXAMINE.CAICLIB
CAISPP=SYS2.EXAMINE.CAISPP
CAISPM=SYS2.EXAMINE.CAISPM
CAISPT=SYS2.EXAMINE.CAISPT
CAIDBS1=SYS3.EXAMINE.CAIDBS1
CAIDBS2=SYS2.EXAMINE.CAIDBS2
```

Change any of the above entries to reflect the correct data sets.

- ___ c) Customize member **STCILIST**. The member contains the identifier and the STC/Job name list. Review all STCs and Jobs that are currently running on the system to determine if the STCs or Jobs can be used to collect data sets that queued to the STC/Job. The identifier can be repeated for each STC/Job that falls into the identifier type process. The following example is for four CICS regions:

```
CA CICSJ1 CICS          ZCICR010 ZCICT010 ZCICA010
CA DFHSTART CICS      ZCICR010 ZCICT010 ZCICA010
CA CICST1 CICS        ZCICR010 ZCICT010 ZCICA010
CA CICSP1 CICS        ZCICR010 ZCICT010 ZCICA010
```

___ 8. **Modify the list of data set entries for the DSNLIST member.**

- ___ a) The **DSNLIST** member is used as input into the Sensitive Reporting Subsystem. Before the Sensitive Reports are produced, duplicate elimination is performed to ensure that data sets are only referred once within the **SENSITIVE.RPT** PDS report members. The duplicate elimination process occurs after **all** input is processed, which includes this **DSNLIST** member and automatic extracts from numerous CA Auditor (formally known as CA Examine) and ACP reports.

Edit **SYS3.FSO.xxxx.mmmyyyy.CNTL(DSNLIST)** to create a list of system and product data set entries using the following guidelines and table. The suggestions following the table will help determine the proper data set names to use.

- 1) Use a two-character identifier to indicate the type of data set entry.
- 2) The same identifier can be repeated as often as necessary.
- 3) Data set entries must be a fully qualified data set name.
- 4) All identifiers must begin in Column 1.
- 5) All data set entries must begin in Column 4.
- 6) Do not use quotes with the data set entry.

This table includes a list of valid data set identifiers, the type of data set entry associated with each identifier, and the member name of the report saved in the **SENSITIVE.RPT** PDS.

Note: The identifier codes followed by a footnote are **optional** input into the **DSNLIST**. The Sensitive Reporting process generates these entry types automatically. Unless you have a special circumstance, you do not need to code these entries in the **DSNLIST**.

| Identifier Code | Dataset Group | Report Name | Note |
|-----------------|--|-------------|------|
| AA | SYS1.PARMLIB (Logical Parmlib data sets) | PARMRPT | 1 |
| AB | SYS1.LINKLIB | LINKRPT | 2 |
| AC | SYS1.SVCLIB | SVCRPT | 2 |
| AD | SYS1.IMAGELIB | IMAGERPT | 2 |
| AE | SYS1.LPALIB | LPARPT | 2 |
| AF | SYS1.NUCLEUS | NUCLRPT | 2 |
| AG | SYS1.UADS | UADSRPT | 3 |
| AH | SYS1.DUMP | DUMPRPT | 3 4 |
| AI | SYS1.TRACE | TRACERPT | 2 4 |
| AJ | RACF REXX Exit Datasets | RACFREXX | 5 |
| AK | System REXX Datasets | SYSREXX | |
| BA | APF-authorized | APFXRPT | 6 |
| BB | LINKLIST | LNKXRPT | 6 |
| BC | LPA | LPAXRPT | 6 |
| BD | Libraries containing PPT modules | PPTXRPT | 6 |
| BE | Libraries containing system exits | MVSRPT | 6 |
| BF | TSO APF-authorized | APFTRPT | 6 |
| BG | SMF collection (i.e., SYS1.MAN) | SMFXRPT | 3 6 |
| BH | JES2 procedures | PROCRPT | 3 7 |
| BI | Master System catalog | CATMRPT | 3 |
| BJ | System User catalogs | CATURPT | 3 6 |
| BK | SMP/E installation (i.e., CSIs) | SMPERPT | 6 |
| BL | System PAGE | PGXXRPT | 3 |
| BM | JES2 System data sets | JES2RPT | 6 |
| BN | SMF dump/backup | SMFBKRPT | 4 8 |
| BO | System DASD backup | BKUPRPT | 4 8 |
| BP | ACP and security-related | ACPRPT | 3 9 |
| BQ | System-level product installation | PRODRPT | |
| BR | FDR Installation Datasets | FDRRPT | 8 |
| BS | IBM Health Checker STC Data Sets | HCKSTC | 8 |
| BT | FTP Control Card Data Sets | FTPCNTL | 8 |
| C0 | Compuware Abend-Aid User Data Sets | AIDUSER | 8 |

¹ SYS1.PARMLIB and/or Logical Parmlib obtained from System Control Blocks that are set during an IPL.

² Datasets are hard coded within the script.

³ Datasets obtained from commands and/or System Control Blocks available to the system.

⁴ Additional data sets can be obtained from detailed instructions.

⁵ Data sets enqueued to ARX Started Tasks.

⁶ The data sets for this group are obtained from SYS3.FSO.xxxx.mmmmyyy.EXAM.RPT data set.

⁷ The data sets for this group are obtained from the STC's JCL.

⁸ Data sets obtained from information requested in the Dialog Process.

⁹ Data sets obtained from Product reports and/or within data sets.

| Identifier Code | Dataset Group | Report Name | Note |
|-----------------|---|-------------|------|
| C1 | CA VTAPE Installation Data Sets | VTAPERPT | 8 |
| C2 | BMC MAINVIEW for z/OS STC Data Sets | MVZSTC | 8 |
| C3 | BMC MAINVIEW for z/OS Installation Data Sets | MVZRPT | 8 |
| C4 | Compuware Abend-Aid STC Data Sets | AIDSTC | 8 |
| C5 | Compuware Abend-Aid Installation Data Sets | AIDRPT | 8 |
| C6 | CA MIM STC Data Sets | MIMSTC | 8 |
| C7 | CA MIM Installation Data Sets | MIMRPT | 8 |
| C8 | CA MICS User Data Sets | MICSUSER | 8 |
| C9 | CA MICS Installation Data Sets | MICSRPT | 8 |
| CA | CICS STC Data Sets | CICSSTC | 48 |
| CB | FEP/NCP | NCPRPT | 48 |
| CC | VTAM | VTAMRPT | 8 |
| CD | NC-PASS STC Data Sets | NCPASSTC | 8 |
| CE | UNIX HFS Files | HFSRPT | 3 |
| CF | UNIX System Services | USSRPT | 4 |
| CG | UNIX STEPLIBLIST | STLLRPT | 3 |
| CH | CL/SuperSession STC Data Sets | KLSSTC | 8 |
| CI | DFSMS | SMSRPT | 49 |
| CJ | CA 1 (TMC, AUDIT, and optional RDS and VPD data sets) | CA1RPT | 9 |
| CK | CA 1 Started Task data sets | CA1STC | 8 |
| CL | WebSphere MQ | MQSRPT | 4 |
| CM | TCPIP | TCPRPT | 4 |
| CN | CA Auditor (CA Examine) User Data Sets | ADTUSER | 8 |
| CO | CA Auditor (CA Examine) Installation Data Sets | ADTRPT | 8 |
| CP | HTTP | HTTPRPT | 4 |
| CQ | CICS Installation Data Sets | CICSRPT | 48 |
| CR | FTP | FTPRPT | 49 |
| CS | WebSphere Application Service | WASRPT | 4 |
| CT | SDSF | ISFRPT | 8 |
| CU | HASPINDEX | SDSFRPT | 3 |
| CV | NETVIEW STC Data Sets | NETVSTC | 8 |
| CW | NETVIEW Installation Data Sets | NETVRPT | 8 |
| CX | TADz STC Data Sets | TADZSTC | 8 |
| CY | TADz Installation Data Sets | TADZRPT | 8 |
| CZ | CA VTAPE STC Data Sets | VTAPESTC | 8 |
| D0 | CONTROL-M/Restart Installation/Operations Data Sets | CTRRPT | 8 |
| D1 | CONTROL-O User Data Sets | CTOSTC | 8 |
| D2 | CONTROL-O Install/Operations Data Sets | CTORPT | 8 |
| DA | CA 1 Installation Data Sets | CA1PROD | 8 |
| DB | Catalog Solution Installation Data Sets | CSLPROD | 8 |
| DC | CL/SuperSession Installation Data Sets | KLSRPT | 8 |
| DD | NC-PASS Installation Data Sets | NCPASRPT | 8 |
| DE | SRRAUDIT User Data Sets | SRRUSER | 8 |

| Identifier Code | Dataset Group | Report Name | Note |
|-----------------|---|-------------|------|
| DF | SRRAUDIT Installation Data Sets | SRRPROD | 8 |
| DG | ROSCOE STC Data Sets | ROSCSTC | 8 |
| DH | ROSCOE Installation Data Sets | ROSCRPT | 8 |
| DI | TDMF Installation Data Sets | TDMFRPT | 8 |
| DJ | VSS User Data Sets | VSSUSER | 8 |
| DK | VSS Installation Data Sets | VSSRPT | 8 |
| DL | HCD User Data Sets | HCDUSER | 8 |
| DM | HCD Installation Data Sets | HCDRPT | 8 |
| DN | ICSF STC Data Sets | ICSFSTC | 8 |
| DO | ICSF Installation Data Sets | ICSRPT | 8 |
| DP | INCONTROL (IOA) User Data Sets | IOAUSER | 8 |
| DQ | INCONTROL (IOA) STC Data Sets | IOASTC | 8 |
| DR | INCONTROL (IOA) Installation Data Sets | IOARPT | 8 |
| DS | CONTROL-D User Data Sets | CTDUSER | 8 |
| DT | CONTROL-D STC Data Sets | CTDSTC | 8 |
| DU | CONTROL-D Installation Data Sets | CTDRPT | 8 |
| DV | CONTROL-M User/Application JCL Data Sets | CTMJCL | 8 |
| DW | CONTROL-M User Data Sets | CTMUSER | 8 |
| DX | CONTROL-M STC Data Sets | CTMSTC | 8 |
| DY | CONTROL-M Installation Data Sets | CTMRPT | 8 |
| DZ | CONTROL-M/Restart User Data Sets | CTRUSER | 8 |
| EA | CA Common Services Installation Data Sets | CCSRPT | 8 |
| EB | CSSMTP STC Datasets | SMTSTC | 7 |

Note: All references for data set masks are used to collect data sets that may be associated with the Dataset Group. The list of data sets should be reviewed to ensure that the data sets collected are associated to the Dataset Group.

Example: The data set mask of ****.*SMF*** will collect all data sets that have a second, third, fourth, etc. qualifier that contains SMF. Ensure that all data sets collected are associated to the SMF dump/backup data set type.

Example: Additional data set masks (such as ***.*BPX***, ****BPA***, ****CMX***, ****OMVS***, ***.*FOM***.) are used to collect data sets associated with the Dataset Group. The list of data sets should be reviewed to ensure that the data sets collected are associated to the Executive software being reviewed and not data sets associated with a respective application.

➔ **SYS1.DUMP** – The **SYS1.DUMPxx** data set are automatically collected. Addition Dump data sets can be identified by reviewing the logical parmlib concatenation data sets for the current **COMMNDxx** member. Find the **COM=** which specifies the **DUMPDS NAME (DD NAME=name-pattern)** entry, the name-pattern is used to identify additional Dump data sets. Another option to obtain the name-pattern is to issue the **D D,ST MVS** command under SDSF.

- ➔ **SYS1.TRACE** – The **SYS1.TRACE** data set is collected in this process. Additional Trace data sets can be obtained by a search of the JES2 proclibs for the member that executes program **AHLGTF**, **HHLGTF**, and **IHLGTF**. Obtain the data set specified in the IEFORDER DD statement.
- ➔ **SMF dump/backup** – Determine the names of the automated procedures used to dump the SMF data sets by reviewing SYSLOG messages. Review these procedures in the JES2 proclibs for the data sets created. Use ISPF/PDF option 3.4 data set name list to enter ****.*SMF***, or replace **SMF** with the *actual domain SMF ID* (**DAILY**, **WEEKLY**, etc.).
- ➔ **System DASD backup** – If DFHSM is used, review the **DFHSM** procedure and note the **CMD=xx** parameter on the EXEC statement. Browse the **ARCCMDxx** member of the data set allocated by the **HSM Parm DD** statement for the entries **BACKUPPREFIX(prefix)** and **MIGRATEPREFIX(prefix1)**. The system backup data set names will be **prefix.BACKTAPE.DATASET** and **prefix1.HMIGTAPE.DATASET**.
- If FDR is used, use **FDRABR**. for the data set prefix.
- ➔ **System-level product installation** – SMP/E target and distribution data sets, and non-SMP/E installation data sets.
- Note:** *SMP/E CSI data sets are automatically included in the **SMPERPT** report.*
- ➔ **CICS STC Datasets** – Review **EXAM.RPT(CICSPROC)**. CICS system data set names are identified by DD names beginning with **DFH**. These data sets are maintained by the CICS STC and/or batch job and the system programming personnel. Use ISPF/PDF option 3.4 data set name list (e.g., ****.*CICS***) to obtain a comprehensive list of CICS STC data sets. These data sets are referenced in proclib members or the CICS batch JCL.
- ➔ **FEP/NCP** – Search the JES2 proclibs for the member that executes program **ISTINM01**. These data sets are used for the FEP at the site. If the domain does not have a FEP the collection of these data sets can be bypassed. Review the VTAM procedure for load and dump data sets for the FEP. Use ISPF/PDF option 3.4 data set name list to enter ****.*NCP***. This can be used to obtain the **NCP** system, **NCP** source definition, **NCP** load modules, **NCP** host dump, and **NCP** utility programs data sets.
- ➔ **UNIX System Services Product Data Sets** – Use ISPF/PDF option 3.4 data set name list to enter ***.*BPX***, ****.*BPA***, ****.*CMX***, ****.*OMVS***, and ***.*FOM***.
- ➔ **DFSMS** – Review **IGDSMSxx** members in **SYS1.PARMLIB** to obtain the ACDS and COMMDS data set names. Use the prefixes of these data sets to

obtain the SCDS, ACS routine, and any backup data set names. Use ISPF/PDF option 3.4 data set name list to enter ****DFSMS***.

➔ WebSphere MQ – Search the JES2 proclibs for members that execute programs with the prefix of **CSQ**. Review proclib members for *ssidMSTR* and *ssidCHIN*. Additional data sets can be found by reviewing the *ssidMSTR* JESMSGLG. Find **CSQJ001I** messages to obtain the LOGCOPY data sets. Find the **CSQY122I** message to obtain the **ARCPRFX1** and **ARCPRFX2** data set high-level qualifiers. Use ISPF/PDF option 3.4 data set name list to enter ****MQ***.

➔ TCPIP – Review the **TCPIP** procedures and search the JES2 proclibs for members that execute programs with prefixes of **MVP** and **EZA**. Use ISPF/PDF option 3.4 data set name list to enter ****TCP*** and **SYS1.TCPIP.SEZ***. The prefixes of the product data sets begin with **SYS1.TCPIP.AEZA**, and **SYS1.TCPIP.SEZA**.

➔ HTTP – Review the **HTTP** procedures and search the JES2 proclibs for members that execute program **IMWHTTPD**. Use ISPF/PDF option 3.4 data set name list to enter **SYS1.IMW**.

➔ CICS Installation Datasets – Review **EXAM.RPT(CICSPROC)**. CICS system data sets are maintained by the system programming personnel. These data sets include the CICS SIT allocated by the **SYSIN DD** statement. Use ISPF/PDF option 3.4 data set name list (e.g., ****CICS***) to obtain a comprehensive list of CICS Installation data sets, including installation data sets not referenced in proclib members.

Note: *The libraries allocated by the **STEPLIB DD** statement are APF-authorized and are automatically included in the **APFXRPT** report.*

➔ FTP – Review the **FTPD** procedure and search the JES2 proclibs for members that execute programs with prefix of **FTP**. Review the data set allocated to the **SYSFTPD DD** statement in the **FTPD** procedure for the **BANNER** entry that is identified to a data set.

➔ WebSphere Application Service – Use ISPF/PDF option 3.4 data set name list to enter **SYS2.WAS***, **SYS2.OE**, **SYS2.EJS**, **SYS1.JAVA**, **SYS1.DB2**, and **SYS1.GLD** and data sets **SYS1.CSSLIB**, **SYS1.LE.SCEELKED**, **SYS1.LE.SCEELKEX**, and **SYS1.LE.SCEE OBJ**.

___ 9. For sites that have ACF2 as the Security Product:

- ___ a) Review, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CAAT0001)**. It is recommended that the member CAAT0001 be reviewed and modified to ensure that all resources have been identified. The format for this member is an eight-character Resource Class name, **starting in column 1**, and a three-character Type Code, **starting in column 9**, used by ACF2.

This information is verified in this process using the internal and external CLASMAP definitions. The Resource Classes and Type Codes that are identified in CAAT0001 may or may not be defined in the CLASMAP definitions. A Resource Class can be repeated with different Type Codes within CAAT0001. An example:

```
C + 1
C123456789012
TRANS CKC
TRANS CKA
```

If a Resource Class is identified in both internal and external CLASMAP definitions, the process will use the Type Code that is in the external CLASMAP definition. If a Resource Class is not in the external CLASMAP definition, the process will use the *first* occurrence of the Resource Class in the internal CLASMAP definition.

If the Resource Class does not appear in the CLASMAP or the Type Code for the Resource Class is not appropriate, enter the Resource Class and Type Code into CAAT0001 to be used by the process. An example where Resource Class PROGRAM is not defined in the external CLASMAP definition, enter the following into CAAT0001:

```
PROGRAM PGM
```

The process will possibly use the following:

```
PROGRAM CPC
```

The INFODIR entries may identify Type Codes that are not defined in the CLASMAP definition. If a Type Code can be identified to a Resource Class to be collected, enter the information into **CAAT0001**.

Note for CICS: Review CICS STCs for ACF2PARM DD statement. Within each ACF2PARM data set, find CICSKEY for RESOURCE=TRANS and enter the TYPE= entry in CAAT0001. An example follows:

CICSKEY OPTION=VALIDATE,TYPE=KTS,RESOURCE=TRANS

Enter the following in CAAT0001:

TRANS KTS

1.2 z/OS Data Collection

CA Auditor (formally known as CA Examine) will be used as the primary vehicle to collect the z/OS data necessary to conduct the Security Readiness Review (SRR). Almost all CA Auditor data collection will be accomplished in batch. However, some online interaction using ISPF/PDF and CA Auditor will be necessary.

The data gathered will be saved in the following partitioned data sets:

- 1) **SYS3.FSO.xxxx.mmmyyyy.EXAM.RPT** – CA Auditor reports
- 2) **SYS3.FSO.xxxx.mmmyyyy.PARMLIB** – Copies of various system parmlib members
- 3) **SYS3.FSO.xxxx.mmmyyyy.PARMLIB.ACCESS** – Inaccessible data sets referred to in SYS1.PARMLIB
- 4) **SYS3.FSO.xxxx.mmmyyyy.PDI** – Finding Analysis Detail reports

These permanent data sets must be located on a domain accessible to the reviewing personnel and will be required for follow-up SRR data analysis. The data sets should be backed up and retained by the site for future reference.

___ 1. **Submit JCL to execute the batch CA Auditor job.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(EXAMJOB)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **EXAMJOB** for execution. CA Auditor (formally known as CA Examine) report steps may end with a condition code of **0** although errors occurred. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT files of each report step
 - ___ 2) The JOBLOG or JESLOG files

Note: *The CA Auditor job accesses numerous system-level data sets. Access authorization problems may not be obvious at first because the CA Auditor reports will still be produced. However, the information in these reports may not be complete. It is imperative that the job is thoroughly examined for error messages, especially from the ACP.*

The **EXAMJOB** job will create the PDS **SYS3.FSO.xxxx.mmmyyyy.EXAM.RPT** and save each report in individual members. These members will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 2. Collect data using the online CA Auditor ISPF application.

Some functions under CA Auditor (formally known as CA Examine) are not supported in batch. Therefore, certain CA Auditor reports must be executed online.

Note: If JES2 contains dynamic proclibs, there may be a problem with CA Auditor reporting these proclib data sets. If the system proclibs, data sets containing started task and TSO procedures, are dynamically allocated to JES2, this step will have to be bypassed.

___ a) Collect JES2 proclib member lists.

- ___ 1) From ISPF/PDF option 6, issue the following command. This will allow CA Auditor to write output to this PDS member when using the CA Auditor **REPORT** command:

```
alloc f(exam$out) da('sys3.fso.xxxx.mmmmyyyy.exam.rpt(proclibs)')
```

- ___ 2) Invoke the CA Auditor application. From the CA Auditor primary menu, enter **4.2** from the command line to display the **JES2 PROCLIB DISPLAY** menu.
- ___ 3) Enter the command **REPORT ON** from the command line to activate CA Auditor continuous reporting mode.
- ___ 4) Select each proclib that contains started task procedures and TSO procedures. They are displayed at the bottom of the **JES2 PROCLIB DISPLAY** menu and press the **ENTER** key.
- ___ 5) From the **PROCLIB SEARCH DATA** menu, enter a *hyphen* (-) for a program mask and press the **ENTER** key. After the list of proclib members is displayed, press the **PF3** key twice to display the next proclib. Repeat this same program mask search for each proclib.
- ___ 6) After all proclibs are searched, enter the command **REPORT OFF** from the command line to deactivate CA Auditor continuous reporting mode and exit the CA Auditor application.
- ___ 7) Exit CA Auditor and issue the following command:

```
free fi(exam$out)
```

- ___ b) Collect CICS proclib member lists and JCL.
- ___ 1) From ISPF/PDF option 6, issue the following command. This will allow CA Auditor to write output to this PDS member when using the CA Auditor **REPORT** command:
- ```
alloc f(exam$out) da('sys3.fso.xxxx.mmmmyyyy.exam.rpt(cicsproc)')
```
- \_\_\_ 2) Invoke the CA Auditor application. From the CA Auditor primary menu, enter **4.2** from the command line to display the **JES2 PROCLIB DISPLAY** menu.
- \_\_\_ 3) Select each proclib displayed at the bottom of the **JES2 PROCLIB DISPLAY** menu and press the **ENTER** key.
- \_\_\_ 4) From the **PROCLIB SEARCH DATA** menu, enter **DFHSIP** for the program name and press the **ENTER** key.
- \_\_\_ 5) After the list of CICS proclib members is displayed, enter the command **REPORT ON** from the **SELECTED PROCLIB MEMBERS** menu to activate CA Auditor continuous reporting mode.
- \_\_\_ 6) Select all CICS proclib members. When completed, enter the command **REPORT OFF** from the **SELECTED PROCLIB MEMBERS** menu to deactivate continuous reporting mode. Press the **PF3** key twice to display the next proclib. Repeat steps 4 through 6 for each proclib.
- \_\_\_ 7) After all proclibs are searched, exit CA Auditor and issue the following command:
- ```
free fi(exam$out)
```

___ 3. **Other required information that is not obtained from CA Auditor.**

The means and tools used to gather the following information is discretionary, but this information must be recorded.

- ___ a) Save a copy of the JES2 initialization parameter member(s) in **SYS3.FSO.xxxx.mmmyyy.PARMLIB** using the same JES2 member name(s). This parameter list is referenced by the **HASPPARM DD** statement in the JES2 system procedure.

- ___ b) Save a copy of each of the following Logical Parmlib data sets or **SYS1.PARMLIB** members (where **xx** is any two-character suffix) in **SYS3.FSO.xxxx.mmmyyy.PARMLIB** using the same member name:

IKJTSOxx
IEAAPPxx
BPXPRMxx

___ 4. **Submit JCL to execute the batch SYS1.PARMLIB member's inquiry.**

- ___ a) Review the JCL, edit and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CACJ0001)**.

Note: **PARMDSN** can be added to point to the primary parmlib data set that contains the IEASYSxx, IEAAPFxx, PROGxx, LPALSTxx, IEAFIXxx, IEALPAXx, and LNKLSTxx members. If **PARMDSN** is not specified, the job will collect the logical parmlib concatenation.

The following is an example:

```
ISPSTART CMD(%CACC0003 TERMMMSG(ON) +
PARMDSN(SYS2.PARMLIB))
```

Or

```
ISPSTART CMD(%CACC0003 TERMMMSG(ON) +
PARMDSN('SYS2.PARMLIB SYS1.PARMLIB'))
```

- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **CACJ0001** for execution. Review the job for error messages to ensure successful execution, particularly the following:
- ___ 1) The ISPLOG and SYSTSPRT files of each report step
- ___ 2) The JOBLOG or JESLOG files

The **CACJ0001** job will create the data sets **SYS3.FSO.xxxx.mmmyyyy.PARMLIB.ACCESS** and **SYS3.FSO.xxxx.mmmyyyy.PDI**, saving each report in individual members. These members will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

5. Submit JCL to execute SRRAUDIT Product Analysis

Note: If WebSphere MQ is identified in the Products, ensure that the individual submitting the **CACJ0005** job has the following resource access authorizations (where **ssid** is the subsystem name for each WebSphere MQ):

| Resource Class | Entity | Access |
|----------------|---------------------------|---------|
| PROGRAM | CSQUTIL | EXECUTE |
| MQCONN | ssid.BATCH | READ |
| MQCMDS | ssid.DISPLAY. | READ |
| MQQUEUE | ssid.SYSTEM.COMMAND.INPUT | UPDATE |
| MQQUEUE | ssid.SYSTEM.COMMAND.REPLY | UPDATE |
| MQQUEUE | ssid.SYSTEM.CSQUTIL.- | UPDATE |

Ensure that each WebSphere MQ STCs are active on the system before job submission.

Note: Additional access requirements may be required for the individual submitting this job, dependent on the products used on the system.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CACJ0005)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) If WebSphere MQ is installed on the system, ensure the data sets allocated by the **STEPLIB** DD statement in **MQS20** contain modules **CSQUTIL** and **CSQCMTXT** (**SCSQAUTH** and **SCSQANLE** data sets).
- ___ d) Submit **CACJ0005** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT files of each report step
 - ___ 2) The JOBLOG or JESLOG files

The **CACJ0005** job will modify/add members to data set **SYS3.FSO.xxxx.mmmyyyy.PDI**, saving each report in individual members. These members will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

The **CACJ0005** job will create and/or modify/add members to data sets: **SYS3.FSO.xxxx.mmmyyyy.TABLE**, table information on Products. This data set will be used during subsequent data collection jobs.

The following data sets will be created depending on the Products used on the system:

SYS3.FSO.xxxx.mmmyyyy.CA1RPT – CA 1 utility reports
SYS3.FSO.xxxx.mmmyyyy.CONSOLE – CA Examine Console report
SYS3.FSO.xxxx.mmmyyyy.USSRPT – Logon IDs with OMVS information
SYS3.FSO.xxxx.mmmyyyy.MQSRPT – WebSphere MQ utility reports
SYS3.FSO.xxxx.mmmyyyy.IOA.RPT – IOA product configuration data
SYS3.FSO.xxxx.mmmyyyy.SMFOPTS – CA Examine SMF Options report
SYS3.FSO.xxxx.mmmyyyy.TCPDATA – z/OS Communications Server procedures and configuration information
SYS3.FSO.xxxx.mmmyyyy.USSCMD.S.RPT – z/OS UNIX System Services report output
SYS3.FSO.xxxx.mmmyyyy.TABLE – SRRAUDIT CNTL table entries

Note: *If STEP0020 produces a condition code of 4, review the SYSTSPRT output and correct the Dialog data set as specified using the SRRAUDIT Dialog Management document. Other steps will run only if STEP0020 receives a return code of 0. Return code of 04 in xxxxxx00 product step will automatically bypass collection steps for the specified unavailable products.*

1.3 ACF2 Data Collection

```

*****
*   Follow the instruction in this Section only if the   *
*                               System is running ACF2   *
*****

```

These instructions will use batch processing to collect the ACF2 and z/OS data necessary to conduct the Security Readiness Review (SRR).

The data gathered will be saved in the following partitioned data sets:

- 1) **SYS3.FSO.xxxx.mmmyyyy.ACF2CMDSD.RPT** – ACF2 command reports
- 2) **SYS3.FSO.xxxx.mmmyyyy.PDI** – Finding Analysis Detail reports

These permanent data sets must be located on a domain accessible to the reviewing personnel and will be required for follow-up SRR data analysis. The data sets should be backed up and retained by the site for future reference.

___ 1. **Produce the ACF2CMDS report.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(ACF2CMDS)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **ACF2CMDS** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The SYSPRINT files of each report step
 - ___ 2) The JOBLLOG or JESLOG files

The **ACF2CMDS** job will create the PDS **SYS3.FSO.xxxx.mmmyyyy.ACF2CMDS.RPT**, saving each report in individual members. These members will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 2. Evaluate ACF2 Configuration.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CAAJ0003)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **CAAJ0003** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step
 - ___ 2) The JOBLLOG or JESLOG files

The **CAAJ0003** job will create members in the following data set:
SYS3.FSO.xxxx.mmmyyyy.PDI – Finding Analysis Detail reports.

1.4 RACF Data Collection

```
*****  
* Follow the instruction in this Section only if the *  
* System is running RACF *  
*****
```

These instructions will use batch processing to collect the RACF and z/OS data necessary to conduct the Security Readiness Review (SRR).

The data gathered will be saved in the following partitioned data sets:

- 1) **SYS3.FSO.xxxx.mmmyyyy.DSMON.RPT** – RACF DSMON reports
- 2) **SYS3.FSO.xxxx.mmmyyyy.RACFCMDS.RPT** – RACF command reports
- 3) **SYS3.FSO.xxxx.mmmyyyy.PDI** – Finding Analysis Detail reports

These permanent data sets must be located on a domain accessible to the reviewing personnel and will be required for follow-up SRR data analysis. The data sets should be backed up and retained by the site for future reference.

___ 1. **Produce the RACF command reports.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(RACFCMD1)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **RACFCMD1** for execution. After the job has ended, review the following for error messages to ensure successful execution:

- ___ 1) The **RACFCMD1** batch job.

Note: *A job step condition code of 4 typically indicates that no information was available.*

- ___ 2) All PDS members in **SYS3.FSO.xxxx.mmmyyyy.RACFCMDS.RPT**.

Note: *RACF command error messages will be located in these PDS members used to hold command output.*

The **RACFCMD1** job will create the PDS **SYS3.FSO.xxxx.mmmyyyy.RACFCMDS.RPT**, saving each report in individual members. These members will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 2. **Produce the DSMON report.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(RACFCMD2)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **RACFCMD2** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The SYSPRINT files of each report step
 - ___ 2) The JOBLOG or JESLOG files

The **RACFCMD2** job will create the following data set:

SYS3.FSO.xxxx.mmmyyyy.DSMON.RPT – RACF-specific information such as exits, resource classes, etc.

This information will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 3. Evaluate RACF Configuration.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CARJ0003)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **CARJ0003** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step
 - ___ 2) The JOBLOG or JESLOG files

The **CARJ0003** job will create members in the following data set:

SYS3.FSO.xxxx.mmmyyyy.PDI – Finding Analysis Detail reports

1.5 TSS Data Collection

```

*****
*   Follow the instruction in this Section only if the   *
*                               System is running TSS    *
*****

```

These instructions will use batch processing to collect the TOP SECRET SECURITY (TSS) and z/OS data necessary to conduct the Security Readiness Review (SRR).

The data gathered will be saved in the following partitioned data sets:

- 1) **SYS3.FSO.xxxx.mmmyyyy.TSSCMD.S.RPT** – TSS command reports
- 2) **SYS3.FSO.xxxx.mmmyyyy.TSSDUMP.RPT** – IDCAMS report
- 3) **SYS3.FSO.xxxx.mmmyyyy.TSSPRIV.RPT** – TSS privileges (short) report
- 4) **SYS3.FSO.xxxx.mmmyyyy.TSSCHNGS.RPT** – TSS changes report
- 5) **SYS3.FSO.xxxx.mmmyyyy.PDI** – Finding Analysis Detail reports

These permanent data sets must be located on a domain accessible to the reviewing personnel and will be required for follow-up SRR data analysis. They should be backed up and retained by the site for future reference.

___ 1. **Produce the TSSCMDS report.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmmyyyy.CNTL(TSSCMDS)**. Change the SET JCL statement for TSSINSTX to specify the data set that contains the **TSSINSTX** load module. Refer to comments in the JCL on determining the data set that contains the **TSSINSTX** load module.
- ___ b) Copy **SYS3.FSO.xxxx.mmmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **TSSCMDS** for execution. After the job has ended, review the job for error messages to ensure successful execution, particularly the following:

Note: Submitting this job using the MSCA's ACID will help in identifying which ACIDs have NOPW specified as a password.

- ___ 1) The SYSPRINT files of each report step
- ___ 2) The JOBLOG or JESLOG files

The **TSSCMDS** job will create the PDSs **SYS3.FSO.xxxx.mmmmyyyy.TSSCMDS.RPT**, **SYS3.FSO.xxxx.mmmmyyyy.TSSDUMP.RPT**, and **SYS3.FSO.xxxx.mmmmyyyy.TSSACIDS**, saving each report in individual members.

These data sets and members will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 2. Produce the TSSAUDIT reports.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(TSSAUDIT)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **TSSAUDIT** for execution. Review the job for error messages to ensure successful execution.

The **TSSAUDIT** job will create the data sets:

SYS3.FSO.xxxx.mmmyyyy.TSSPRIV.RPT, saving a report on special privileges.

SYS3.FSO.xxxx.mmmyyyy.TSSCHNGS.RPT, saving a report of security changes.

This information will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 3. Copy of TSS parameter file.

- ___ a) Review the system proclibs to locate the production TSS procedure. Select the production TSS procedure and identify the TSS parmlib member to be copied for review.

- ___ b) Save a copy of the TSS parameter file in **SYS3.FSO.xxxx.mmmyyy.TSSCMD.S.RPT(TSSPRMFL)**.

___ 4. Collect TSS facility and mode information.

Note: *Due to the TSS authorizations required to collect facility and mode information, site security personnel must submit the TSSCMD2 and TSSCMD3 jobs.*

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmmyyyy.CNTL(TSSCMD2)**, following the instructions within the comment block at the beginning of the JCL.
- ___ b) Copy **SYS3.FSO.xxxx.mmmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Review **SYS3.FSO.xxxx.mmmmyyyy.TSSCMDS.RPT** for member STATUS, WHOOMODE, and WHOHMODE. If the members are found with the appropriate results, delete the step that creates the report. STEP2, STEP3, and STEP4 respectively.
- ___ d) **TSSCMD2** should be submitted by the site security personnel (e.g., ISSO). After the job has ended, review error messages to ensure successful execution.

The TSSCMD2 job will create one to four new members in **SYS3.FSO.xxxx.mmmmyyyy.TSSCMDS.RPT**. These members are FACALL, STATUS, WHOOMODE, and WHOHMODE.

- ___ e) Upon successful completion of **TSSCMD2**, review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmmyyyy.CNTL(TSSCMD3)** using the following instructions by reviewing:
 - 1) **SYS3.FSO.xxxx.mmmmyyyy.TSSCMDS.RPT(FACALL)** and add the following **MODIFY** statement to **TSSCMD3** for each facility listed. For example:

TSS MODIFY(FAC(*facility name*))

- 2) **SYS3.FSO.xxxx.mmmmyyyy.TSSCMD3.RPT(TSSPRMFL)** and add the following **MODIFY** statement to **TSSCMD3** for each CICS facility defined. CICS facilities are identified by the control option **'INITPGM=DFH'**. For example:

TSS MODIFY(FAC(CICS *facility name*=BYPLIST))

Note: CICS facilities require both **MODIFY** statements to collect the required data.

- ___ f) Copy **SYS3.FSO.xxxx.mmmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ g) Have the site security personnel (e.g., ISSO) submit **TSSCMD3**. After the job has ended, review error messages to ensure successful execution.

The **TSSCMD3** job will create a member in **SYS3.FSO.xxxx.mmmmyyyy.TSSCMD3.RPT**. This member is named **FACLIST**. This information will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

Note: The following steps are an alternative process for collecting the TSS Facility information. This JCL does not have to be submitted by the site's security personnel.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmmyyyy.CNTL(TSSCMD4)**, following the instructions within the comment block at the beginning of the JCL.
- ___ b) Copy **SYS3.FSO.xxxx.mmmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **TSSCMD4** for execution. Review the job for error messages to ensure successful execution.

The **TSSCMD4** job will create the following data set member:

SYS3.FSO.xxxx.mmmmyyyy.TSSCMD3.RPT(FACALL)
SYS3.FSO.xxxx.mmmmyyyy.TSSCMD3.RPT(FACLIST)

FACALLA can be used as a substitute for FACALL.

FACLISTA can be used as a substitute for FACLIST.

This information will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 5. Evaluate TSS Configuration.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CATJ0002)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **CATJ0002** for execution. Review each of the job steps for error messages to ensure successful execution, particularly the following:

Note: If this job is submitted using the MSCA's ACID, the PDI member TSS0750 will be generated.

- ___ 1) The ISPLOG and SYSTSPRT output files of each step
- ___ 2) The JOBLOG or JESLOG files

The **CATJ0002** job will create members the following data set:

SYS3.FSO.xxxx.mmmyyyy.PDI – Finding Analysis Detail reports

1.6 Data Set and Resource Data Collection

These instructions will use batch processing to collect the ACP and z/OS data necessary to conduct the Security Readiness Review (SRR).

The data gathered will be saved in the following partitioned data sets:

- 1) **SYS3.FSO.xxxx.mmmyyyy.SENSITIVE.RPT** – Data set and resource access reports
- 2) **SYS3.FSO.xxxx.mmmyyyy.PDI** – Finding Analysis Detail reports

These permanent data sets must be located on a domain accessible to the reviewing personnel and will be required for follow-up SRR data analysis. The data sets should be backed up and retained by the site for future reference.

___ 1. **Create work data sets used for subsequent processing.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CACJ0002)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.

Note: It is recommended that the user that the JOB runs under not utilize SDSF until the JOB completes.

- ___ c) Submit **CACJ0002** for execution. Review the job for error messages to ensure successful execution, particularly the following:
- ___ 1) The ISPLLOG and SYSTSPRT output files of each step
- ___ 2) The JOBLOG or JESLOG files

The **CACJ0002** job will create the following work data sets:

- a) **SYS3.FSO.xxxx.mmmyyyy.TEMP1** – A copy of selected CA Auditor reports with special editing
- b) **SYS3.FSO.xxxx.mmmyyyy.TEMP2** – A copy of the JES2 initialization parameters and a copy of your DSNLIST member
- c) **SYS3.FSO.xxxx.mmmyyyy.TEMP3** – A list of data set names from EXAMINE reports and the DSNLIST you created

The **CACJ0002** job will create members in the following data set:

SYS3.FSO.xxxx.mmmyyyy.PDI – Finding Analysis Detail reports

1.6.1 ACF2 Data Set and Resource Data Collection

```
*****
*   Follow the instruction in this Section only if the   *
*                               System is running ACF2   *
*****
```

___ 1. Produce the SENSITIVE data set access reports.

Note: This job will use the backup of the Primary security database to create its own security database for use within this job. Ensure that the system has successfully been able to back up the Primary security database.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmmyyyy.CNTL(CAAJ0001)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Change the **SET** JCL command for symbolic **SRRAUL** to specify the Dialog data set created using the instructions in the *SRRAUDIT Dialog Management* document.
- ___ d) Submit **CAAJ0001** for execution. Review each of the job steps for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step.
 - ___ 2) The JOBLOG or JESLOG files.

The **CAAJ0001** job will create the following data set:

SYS3.FSO.xxxx.mmmmyyyy.SENSITIVE.RPT – Data set access reports

The **CAAJ0001** job will create members in the following data set:

SYS3.FSO.xxxx.mmmmyyyy.PDI – Finding Analysis Detail reports

The files will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

___ 2. Produce the SENSITIVE resource access reports.

Note: This job will use the alternate security database, ensure that the system has successfully been able to back up the Primary database, and create the alternate security database. The alternate database must be as current as of the last backup of the Primary database.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CAAJ0002)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.

Note: This process will not list logonids when the Type Code is SAF.

- ___ c) Submit **CAAJ0002** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step
 - ___ 2) The JOBLOG or JESLOG files

The **CAAJ0002** job will create members in the following data set:

SYS3.FSO.xxxx.mmmyyyy.SENSITIVE.RPT – Resource access reports

This file will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

1.6.2 RACF Data Set and Resource Data Collection

```

*****
*   Follow the instruction in this Section only if the   *
*                   System is running RACF              *
*****

```

___ 1. **Create specialized RACF reports necessary to produce the SENSITIVE REPORTS.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CARJ0001)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **CARJ0001** for execution. Review the job for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step
 - ___ 2) The JOBLOG or JESLOG files

The **CARJ0001** job will add a member to **SYS3.FSO.xxxx.mmmyyyy.TEMP2** file.

___ 2. Produce the SENSITIVE data set and resource access reports.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CARJ0002)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Change the **SET JCL** command for symbolic **SRRAUL** to specify the Dialog data set created using the instructions in the *SRRAUDIT Dialog Management* document.
- ___ d) Submit **CARJ0002** for execution. Review each of the job steps for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step
 - ___ 2) The JOBLOG or JESLOG files

The **CARJ0002** job will create the following data set:

SYS3.FSO.xxxx.mmmyyyy.SENSITIVE.RPT – Data set and Resource access reports

The **CARJ0002** job will create members in the following data set:

SYS3.FSO.xxxx.mmmyyyy.PDI – Finding Analysis Detail reports

The files will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

1.6.3 TSS Data Set and Resource Data Collection

```

*****
*   Follow the instruction in this Section only if the   *
*                               System is running TSS   *
*****

```

___ 1. Produce the SENSITIVE data set and resource access reports.

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CATJ0001)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Change the **SET JCL** command for symbolic **SRRAUL** to specify the Dialog data set created using the instructions in the *SRRAUDIT Dialog Management* document.
- ___ d) Submit **CATJ0001** for execution. Review each of the job steps for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT files of each report step
 - ___ 2) The JOBLOG or JESLOG files

The **CATJ0001** job will create the following data set:

SYS3.FSO.xxxx.mmmyyyy.SENSITIVE.RPT – Data set and Resource access reports

The **CATJ0001** job will create members the following data set:

SYS3.FSO.xxxx.mmmyyyy.PDI – Finding Analysis Detail reports

The files will be referenced in the z/OS STIG under the Vulnerability Checks for subsequent analysis.

2. XMLDATA DATA COLLECTION

Individuals that use a Web-based vulnerability tracking application should perform this process. This process is part of the Automation Tools used for z/OS. This process will remain as the last step before individuals begin the Data Analysis.

The data gathered will be saved in the following data set:

SYS3.FSO.xxxx.mmmyyyy.XMLDATA – XMLDATA Import File

This permanent data set must be located on a domain accessible to the reviewing personnel. This data set should be backed up and retained by the site for future reference.

___ 1. **Produce the XMLDATA Import data sets.**

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(CACJ0004)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement.
- ___ c) Submit **CACJ0004** for execution. Review each of the job steps for error messages to ensure successful execution, particularly the following:
 - ___ 1) The ISPLOG and SYSTSPRT output files of each step
 - ___ 2) The JOBLOG or JESLOG files

The **CACJ0004** job will create the following data sets:

SYS3.FSO.xxxx.mmmyyyy.XMLDATA – XMLDATA Import File

Note: If the above data set can be downloaded, it is recommended that each text file be reviewed after the data set is downloaded. Delete the end-of-file indicator from each file. The end-of-file indicator is located at the end of the file and looks like a square, (). Delete this character.

If these files are zipped using **SYS3.FSO.xxxx.mmmyyyy.CNTL(ZIPJCL)** and the **SYS3.FSO.xxxx.mmmyyyy.ZIP** is downloaded, the removal of the square () is not necessary.

___ 2. Download information.

Note: There are two possible options on the process to download the information to a PC.

- ___ a) Using any 3270 Terminal Host Emulation or File Transfer Protocol software, establish a host connection.

- ___ b) Initiate the upload/download function of the 3270 Terminal Host Emulation or File Transfer Protocol software
 - ___ 1) Enter '**SYS3.FSO.xxxx.mmmyyy.XMLDATA**' (ensure that the data set name is in quotes) for the Host File Name.

 - ___ 2) Enter a drive, directory, and file name using **xml** extension for the PC File Name (e.g., D:*directory_name*\xxxx.mmmyyy.xmldata.xml).

 - ___ 3) Ensure that the Transfer Mode is set to **Text**.

 - ___ 4) Ensure that Transfer Options are set to **ASCII CRLF**.

 - ___ 5) Initiate the file transfer.

Note: The other option for downloading is to follow these steps:

- ___ a) Review the JCL, edit, and make changes where necessary to **SYS3.FSO.xxxx.mmmyyyy.CNTL(ZIPJCL)**.
- ___ b) Copy **SYS3.FSO.xxxx.mmmyyyy.CNTL(JOBCARD)** to the beginning of member and make any changes needed to the JOB statement. This includes changes stated in the JCL comments.
- ___ c) Submit **ZIPJCL** for execution. Review each of the job steps for error messages to ensure successful execution, particularly the following:

The SYSPRINT output file.

The **ZIPJCL** job will create the following data set:

SYS3.FSO.xxxx.mmmyyyy.ZIP – Zip file that contains all data sets/members process during the Data Collection Process.

- ___ d) Establish a host connection using any 3270 Terminal Host Emulation or File Transfer Protocol software.
- ___ e) Initiate the upload/download function of the 3270 Terminal Host Emulation or File Transfer Protocol software
 - ___ 1) Enter '**SYS3.FSO.xxxx.mmmyyyy.ZIP**' (ensure that the data set name is in quotes) for the Host File Name.
 - ___ 2) Enter a drive, directory, and file name using **zip** extension for the PC File Name (e.g., D:*directory_name*\xxxx.mmmyyyy.zip).
 - ___ 3) Ensure that the Transfer Mode is set to **Binary**.
 - ___ 4) Initiate the file transfer.

___ **3. Importing XMLDATA file into web-based vulnerability tracking application.**

To be determined.